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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Busch

ATTY. DOCKET NO: MBA-P-00-001

SERIAL NO.: Unassigned 69/713,619

GROUP ART UNIT: Unassigned

FILING DATE: November 15, 2000

EXAMINER: Unassigned

TITLE: "A SYSTEM AND A METHOD FOR AN AUDIT AND VIRTUAL CASE MANAGEMENT OF A BUSINESS AND/OR ITS COMPONENTS"

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTERASST. COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

S I R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

☒ Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)

☐ After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.

☐ Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission; or

☐ The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)

☐ After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.

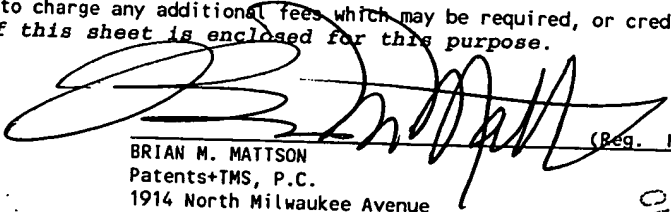
☐ The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

☐ The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.

☐ A check in the amount of \$        to cover any required fee is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 50-0595. A duplicate of this sheet is enclosed for this purpose.

When phoning re this application,  
please call (773) 772-6009

  
BRIAN M. MATTSON  
Patents+TMS, P.C.  
1914 North Milwaukee Avenue  
Chicago, Illinois 60647  
Telephone: (773) 772-6009

(Reg. No. 35,018)

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Asst. Commissioner for Patents, Washington, D.C. 20231 on FEBRUARY 6, 2001.

  
BRIAN M. MATTSON

(Reg. No. 35,018)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
INFORMATION DISCLOSURE STATEMENT

APPLICANT: Busch ATTY. DOCKET NO.: MBA-P-00-001  
SERIAL NO.: Unassigned GROUP ART UNIT: Unassigned  
FILING DATE: Nov. 15, 2000 EXAMINER: Unassigned  
INVENTION: "A SYSTEM AND A METHOD FOR AN AUDIT AND VIRTUAL CASE  
MANAGEMENT OF A BUSINESS AND/OR ITS COMPONENTS"

Asst. Commissioner for Patents  
Washington, D.C. 20231

SIR:

In accordance with the provisions of 37 CFR §1.56, Applicant requests that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith, be made during the course of examination of the above-mentioned application for U.S. patent. A first Office Action on the merits has not been mailed from the Patent Office. Accordingly, no fee is due. If any additional fees are due and owing in view of this communication, please charge Deposit Account No. 50-0595. *A duplicate of this sheet is included for this purpose.*

I. SUBMITTED REFERENCES

A. U.S. PATENT DOCUMENTS

<u>PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
5,541,848	McCormack et al.	Jul. 30, 1996
5,627,886	Bowman	May 6, 1997
5,657,222	Randolph	Aug. 12, 1997
5,671,360	Hambrick et al.	Sep. 23, 1997
5,806,074	Souder et al.	Sep. 8, 1998
5,836,011	Hambrick et al.	Nov. 10, 1998

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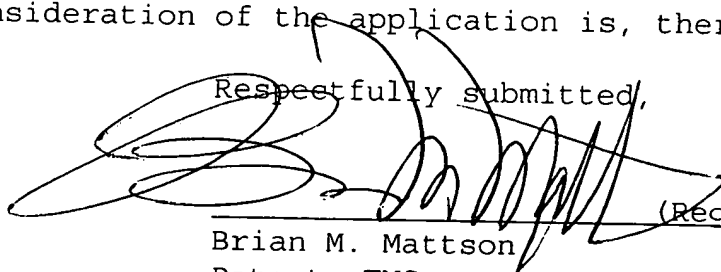
5,845,285	Klein	Dec. 1, 1998
5,848,139	Grover	Dec. 8, 1998
5,867,821	Ballantyne et al.	Feb. 2, 1999
5,893,128	Nauckhoff	Apr. 6, 1999
5,908,383	Byrnjestad	Jun. 1, 1999
5,910,896	Hahn-Carlson	Jun. 8, 1999
5,918,209	Campbell et al.	Jun. 29, 1999
5,991,733	Aleia et al.	Nov. 23, 1999
6,026,364	Whitworth	Feb. 15, 2000
6,108,685	Kutzik et al.	Aug. 22, 2000
6,112,986	Berger et al.	Sep. 5, 2000

## II. REMARKS

Copies of the above references together with form PTO-1449 are submitted herewith.

In view of the foregoing, Applicant submits that all of the claims in the application are patentably distinguishable over the teachings of the above references, taken singly or in combination. Early consideration of the application is, therefore, requested.

Respectfully submitted,



(Reg. No. 35,018)

Brian M. Mattson  
Patents+TMS  
A Professional Corporation  
1914 North Milwaukee Ave.  
Chicago, IL 60647  
(773) 772-6009  
ATTORNEY FOR APPLICANT

Form PTO 1449

U.S. Department of Commerce  
Patent and Trademark OfficeDocket No.  
MBA-P-00-001Serial No.  
UnassignedSUPPLEMENTAL LIST OF ART CITED BY APPLICANT  
(Use several sheets if necessary)Applicant:  
BuschFiling Date  
11/15/00Group Art Unit  
Unassigned

## U.S. PATENT DOCUMENTS

Examiner's Initials	Document Number	Date	Name	Class	Subclass	Filing Date If appropriate
	5,541,848	Jul. 30, 1996	McCormack et al.	364	478.01	
	5,627,886	May 6, 1997	Bowman	379	111	
	5,657,222	Aug. 12, 1997	Randolph	395	221	
	5,671,360	Sep. 23, 1997	Hambrick et al.	395	209	
	5,806,074	Sep. 8, 1998	Souder et al.	707	201	
	5,836,011	Nov. 10, 1998	Hambrick et al.	395	208	
	5,845,285	Dec. 1, 1998	Klein	707	101	
	5,848,139	Dec. 8, 1998	Grover	379	114	
	5,867,821	Feb. 2, 1999	Ballantyne et al.	705	2	
	5,893,128	Apr. 6, 1999	Nauckhoff	707	511	
	5,908,383	Jun. 1, 1999	Brynjestad	600	300	
	5,910,896	Jun. 8, 1999	Hahn-Carlson	364	479.01	
	5,918,209	Jun. 29, 1999	Campbell et al.	705	5	
	5,991,733	Nov. 23, 1999	Aleia et al.	705	8	
	6,026,364	Feb. 15, 2000	Whitworth	705	4	
	6,108,685	Aug. 22, 2000	Kutzik et al.	709	200	
	6,112,986	Sep. 5, 2000	Berger et al.	235	380	

## FOREIGN PATENT DOCUMENTS

	Document Number	Date	Country	Class	Subclass	Translation	
						Yes	No

## OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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CERTIFICATE OF MAILING

I hereby certify that this **INFORMATION DISCLOSURE STATEMENT, PTO-1449 AND REFERENCES, AND POSTCARD** are being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D. C. 20231 on February 6, 2001.



Brian M. Mattson (Reg. No. 35,018)